

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(d))

2813  
Docket No.  
14872

In Re Application Of: Toshimichi Kurihara, et al.

Serial No.  
09/942,445

Filing Date  
Aug. 30, 2001

Examiner  
N. O. Berezny

Group Art Unit  
2813

Title: SEMICONDUCTOR DEVICE AND MANUFACTURING METHOD THEREOF

Address to:  
Commissioner for Patents

The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), and on or before payment of the issue fee, and is accompanied by the Statement as specified in 37 CFR 1.97(e) and the fee set forth in 37 CFR 1.17(p).

☒ A check in the amount of \$180.00 is attached.

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Paul J. Esatto, Jr.

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Signature

Dated: July 10, 2003

Paul J. Esatto, Jr.  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**PATENT**

**Applicants:** Toshimichi Kurihara, et al.

**Examiner:** N.O. Berezny

#9/DS  
7-23-03  
et al.

**Serial No.:** 09/942,445

**Group Art Unit:** 2813

**Filed:** Aug. 30, 2001

**Docket:** 14872

**For:** SEMICONDUCTOR DEVICE AND  
MANUFACTURING METHOD THEREOF

**Dated:** July 10, 2003

Commissioner for Patents  
Box 1450  
Alexandria, VA 22313-1450

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**INFORMATION DISCLOSURE STATEMENT**

Sir:


In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese laid-open utility model application 50-133860, dated April 19, 1949;
2. Japanese laid-open utility model application 56-23765, dated March 6, 1981, together with English Language Abstract;
3. International Patent Application No. WO 96/01524, dated January 18, 1996;
4. Japanese laid-open utility model application 63-124748, dated August 15, 1988; and
5. Japanese laid-open patent application 07-045802 dated February 14, 1995, together with English Language Abstract.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450 on July 10, 2003.

Dated: July 10, 2003

  
Paul J. Esatto, Jr.

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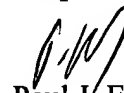
01 FC:1806

The references were cited in a Japanese Office Action dated April 16, 2003 received from the Japanese Patent Office. Applicants are submitting copies of the above-cited references, together with a translation of the Examiner's comments regarding the references from the Official Action. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. §1.97(d), the \$180.00 fee and statement are enclosed.

Respectfully submitted,



Paul J. Esatto, Jr.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Toshimichi Kurihara, et al.      **Examiner:** N.O. Berezny  
**Serial No.:** 09/942,445      **Group Art Unit:** 2813  
**Filed:** Aug. 30, 2001      **Docket:** 14872  
**For:** SEMICONDUCTOR DEVICE AND  
AND MANUFACTURING METHOD  
THEREOF      **Dated:** July 10, 2003

Commissioner for Patents  
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Alexandria, VA 22313-1450

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**STATEMENT PURSUANT**  
**TO 37 C.F.R. §§ 1.97(d) and (e)(1)**

Sir:

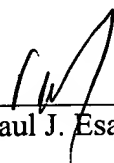
I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

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Dated: July 10, 2003

  
\_\_\_\_\_  
Paul J. Esatto, Jr.

Information Disclosure Statement. Applicant also submits the requisite \$180.00 official fee pursuant to § 1.17(p) by means of the enclosed check.

Respectfully submitted,



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